

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

_____)	
SECURITIES AND EXCHANGE COMMISSION,)	
)	
Plaintiff,)	
)	Civil Action No.
v.)	06-10885-NMG
)	
JAMES TAMBONE and)	
ROBERT HUSSEY,)	
)	
Defendants.)	
_____)	

JOINT STIPULATION OF DISMISSAL OF THIRD CLAIM OF THE COMPLAINT

Plaintiff Securities and Exchange Commission and defendants James Tambone and Robert Hussey (collectively, the “Parties”) pursuant to Fed. R. Civ. P. 41(a)(1)(ii) hereby stipulate, through their undersigned counsel, that the THIRD CLAIM of the Complaint (including paragraphs 14(c) and 106 through 110 of the Complaint), alleging Aiding and Abetting Columbia Advisors’ and/or Columbia Distributor’s Violations of Section 10(b) of the Exchange Act and Rule 10b-5 Thereunder, is hereby DISMISSED with prejudice.

The Parties further stipulate that no claim or allegation other than those specifically identified in this stipulation shall be dismissed at this time.

So Ordered:

Honorable Nathaniel M. Gorton
United States District Judge

Respectfully submitted,

SECURITIES AND EXCHANGE
COMMISSION,

ROBERT HUSSEY

By its attorneys,

By his attorneys,

/s/ David H. London

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Dated: March 19, 2012

CERTIFICATE OF SERVICE

I certify that this document filed through the Court's Electronic Case Filing (ECF) system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing.

/s/ David H. London
David H. London